



# EMMESSAR BIOTECH & NUTRITION LIMITED

29, Kamer Building, 4th floor, 38, Cawasji Patel Street, Fort, Mumbai – 400 001  
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Investors Grievance Email: [investors@ebnl.org](mailto:investors@ebnl.org) CIN No. L24110MH1992PLC065942

## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2023.**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Priyanka O. Sharma, Compliance Officer of Emmessar Biotech & Nutrition Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of event/s during the quarter ended and has captured 1 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

**For Emmessar Biotech & Nutrition Limited**



**Priyanka O. Sharma**  
**Company Secretary & Compliance Officer**  
**ICSI Membership No.: A52518**

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.

SNo	Regulation	Particulars	Submitted on
01	Reg. 30/33	Outcome of Board Meeting for Financial Results for quarter ended 31-3-2023	20-05-2023
02	Reg. 30 (LODR)	Demise of Chairman	22-06-2023
03	Reg 9 2015 SEBI PIT	Trading Window Closure	28-06-2023
04	Reg. 29(2)	Purchase of shares on various dates	29-06-2023
05	Reg. 29(1)	Board Meeting Intimation for Financial Results	11-07-2023
06	Reg. 13(3)	Investors Grievance	11-07-2023
07	Reg. 74(5)	Confirmation Certificate by RTA	11-07-2023
08	Reg. 76	Reconciliation of Share Capital Audit	11-07-2023
09	Reg. 57(5)	Intimation at the end of the quarter.	11-07-2023
10	Reg. 31(i)(b)	Share Holding Pattern	13-07-2023
11	Reg. 30 (LODR)	Newspaper Publication on Board Meeting Notice	13-07-2023
12	Reg. 27(2)	Corporate Governance	14-07-2023
13	Reg. 3(5) & 3(6)	SDD Compliance Certificate	18-07-2023

Dt. 18/07/2023

Regd. Office & Factory: Plot No. T-3/2, MIDC Area, Taloja – 410208. Dist. Raigad. Maharashtra.  
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